

# À propos d'existence globale dans des systèmes de réaction-diffusion

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Journée Équipe AnaNum  
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- ▶ Story about the **global existence in time** of solutions to reaction-diffusion (RD) systems for which:
  - positivity of the solution is preserved for all time
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- ▶ Lots of such systems in applications: chemical morphogenesis ('Brusselator'), Glycolysis, Gray-Scott models, combustion, Lotka-Volterra systems, epidemiology (SIR), reversible chemical reactions,...

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- ▶ Lots of such systems in applications: chemical morphogenesis ('Brusselator'), Glycolysis, Gray-Scott models, combustion, Lotka-Volterra systems, epidemiology (SIR), reversible chemical reactions,...
- ▶ The two properties provide an *a priori bound in  $L^1$  for all time*.  
QUESTION: how does this help for global existence ???

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$$\begin{cases} \partial_t u_1 - d_1 \Delta u_1 = -u_1 u_2^\beta, \\ \partial_t u_2 - d_2 \Delta u_2 = u_1 u_2^\beta, \\ u_i(0, \cdot) = u_i^0 \geq 0, \quad i = 1, 2, \\ \text{good boundary conditions on } \partial\Omega, \end{cases}$$

where  $d_1, d_2 \in (0, +\infty)$ ,  $\beta \in [1, +\infty)$

and  $u_i = u_i(t, x)$ ,  $t \in [0, T]$ ,  $x \in \Omega$ ,  $i = 1, 2$ ,  $T = +\infty$  ???.

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and  $u_i = u_i(t, x)$ ,  $t \in [0, T]$ ,  $x \in \Omega$ ,  $i = 1, 2$ ,  $T = +\infty$  ???.

- ▶ Let us choose **homogeneous Neumann boundary conditions**.



## Quid of global existence ???

- ▶  $d_1, d_2 \in (0, +\infty)$ ,  $\beta \in [1, +\infty)$

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- ▶  $\Rightarrow T^* = +\infty$  !

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- If  $d_1 = d_2 = d$ ,  $\partial_t(u_1 + u_2) - d\Delta(u_1 + u_2) = 0$   
 $\Rightarrow \|(u_1 + u_2)(t)\|_{L^\infty(\Omega)} \leq \|u_1^0 + u_2^0\|_{L^\infty(\Omega)}, \forall t \in [0, T^*)$   
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- ▶ What happens when  $d_1 \neq d_2$  ???.

## Quid of global existence ? An $L^1(\Omega)$ -estimate

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► Using  $\int_\Omega \Delta u_i = \int_{\partial\Omega} \partial_\nu u_i = 0$ , we have

$$\frac{d}{dt} \int_\Omega (u_1 + u_2)(t) = \int_\Omega \partial_t (u_1 + u_2) = 0$$

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- $\Rightarrow L^1(\Omega)$ -bound, uniform in time  $[t \in [0, T^*)]$  !
- How does this help for global existence?

Same question for the family of systems with the two main properties **(P)**+**(M)** which yield the same estimates

$$(S) \begin{cases} \forall i = 1, \dots, m \\ \partial_t u_i - d_i \Delta u_i = f_i(u_1, u_2, \dots, u_m), \\ \partial_\nu u_i = 0, \\ u_i(0, \cdot) = u_i^0(\cdot) \geq 0, \end{cases}$$

$d_i \in (0, +\infty)$ ,  $f_i : [0, \infty)^m \rightarrow \mathbf{R}$  locally Lipschitz continuous,

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► or more generally

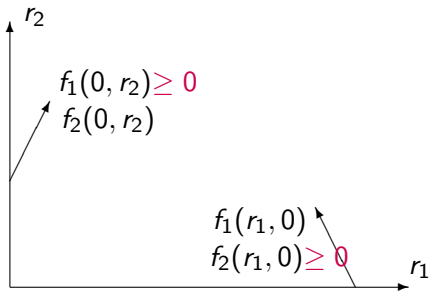
**(M')**  $\forall r \in [0, \infty[^m$ ,  $\sum_{1 \leq i \leq m} a_i f_i(r) \leq C[1 + \sum_{1 \leq i \leq m} r_i]$   
for some  $a_i > 0$



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► **(P) Preservation of Positivity:**  $\forall i = 1, \dots, m$

$\forall r = (r_1, \dots, r_m) \in [0, \infty[^m$ ,  $f_i(r_1, \dots, r_{i-1}, 0, r_{i+1}, \dots, r_m) \geq 0$ ,  
 = "quasi-positivity" of  $f = (f_i)_{1 \leq i \leq m}$ .



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- **(M):**  $\sum_{1 \leq i \leq m} f_i(r_1, \dots, r_m) \leq 0 \Rightarrow$  **'Control of the Total Mass':**

$$\forall t \geq 0, \quad \int_{\Omega} \sum_{1 \leq i \leq r} u_i(t, x) dx \leq \int_{\Omega} \sum_{1 \leq i \leq r} u_i^0(x) dx$$

Add up, integrate on  $\Omega$ , use  $\int_{\Omega} \Delta u_i = \int_{\partial\Omega} \partial_\nu u_i = 0$ :

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- $\Rightarrow L^1(\Omega)$ - a priori estimates, uniform in time.
- Remark:  $L^1$ -bound for all time with **(M')**

QUESTION:

*What about Global Existence of solutions*

*under assumption  $(P)+(M)??$*

*or more generally  $(P)+(M') ??$*

# Several approaches and techniques

- ▶  $L^\infty$ -approach: local existence
- ▶ An  $L^p$ -approach
- ▶ Blow up may occur...
- ▶ An  $L^1$ -approach
- ▶  $L \log L$  may also be involved
- ▶ A surprising  $L^2$ -estimate
- ▶ And more about quadratic systems
- ▶
- ▶ ...based on various properties of the Heat Operator and of diffusion operators with (only) bounded coefficients
- ▶ + OPEN PROBLEMS

## Local existence in $L^\infty$ for systems

$$(S) \begin{cases} \forall i = 1, \dots, m \\ \partial_t u_i - d_i \Delta u_i = f_i(u_1, u_2, \dots, u_m) & \text{in } Q_T \\ \partial_\nu u_i = 0 & \text{on } \Sigma_T \\ u_i(0, \cdot) = u_i^0(\cdot) \geq 0. \end{cases} :$$

► **Theorem** (*à la Cauchy-Lipschitz dans  $L^\infty$* ).

Let  $u^0 = (u_i^0)_{1 \leq i \leq m} \in L^\infty(\Omega)^{+m}$ . Then, there exist a maximum time  $T^* > 0$  and  $u = (u_1, \dots, u_m)$  unique **classical nonnegative** solution of (S) on  $[0, T^*)$ . Moreover,

$$\sup_{t \in [0, T^*)} \left\{ \max_i \|u_i(t)\|_{L^\infty(\Omega)} \right\} < +\infty \Rightarrow [T^* + \infty].$$

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► **Corollary.** If  $d_i = d$  for all  $i = 1, \dots, m$ , then  $T^* = +\infty$ .



## Local existence in $L^\infty$ for systems

$$(S) \begin{cases} \forall i = 1, \dots, m \\ \partial_t u_i - d_i \Delta u_i = f_i(u_1, u_2, \dots, u_m) & \text{in } Q_T \\ \partial_\nu u_i = 0 & \text{on } \Sigma_T \\ u_i(0, \cdot) = u_i^0(\cdot) \geq 0. \end{cases} :$$

► **Theorem** (*à la Cauchy-Lipschitz dans  $L^\infty$* ).

Let  $u^0 = (u_i^0)_{1 \leq i \leq m} \in L^\infty(\Omega)^{+m}$ . Then, there exist a maximum time  $T^* > 0$  and  $u = (u_1, \dots, u_m)$  unique **classical nonnegative** solution of (S) on  $[0, T^*)$ . Moreover,

$$\sup_{t \in [0, T^*)} \left\{ \max_i \|u_i(t)\|_{L^\infty(\Omega)} \right\} < +\infty \Rightarrow [T^* + \infty].$$

► **Corollary.** If  $d_i = d$  for all  $i = 1, \dots, m$ , then  $T^* = +\infty$ .

► **Proof:**  $\partial_t(\sum_i u_i) - d \Delta(\sum_i u_i) \leq 0$ .

$$\Rightarrow \left\| \sum_i u_i(t) \right\|_{L^\infty(\Omega)} \leq \left\| \sum_i u_{i0} \right\|_{L^\infty(\Omega)}.$$

# The $L^p$ -approach

- Recall the R.H. Martin's problem ( $\beta \in [1, +\infty)$ )

$$(S) \begin{cases} \partial_t u_1 - d_1 \Delta u_1 = -u_1 u_2^\beta & (\leq 0) \\ \partial_t u_2 - d_2 \Delta u_2 = u_1 u_2^\beta \\ \partial_\nu u_i = 0 \text{ on } \partial\Omega, \quad i = 1, 2. \end{cases}$$

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The  $L^p$ -Main Lemma is a dual statement of the maximal  $L^{p'}$ -regularity

- More generally, [S. Hollis, M.P., R.H. Martin '87]

$$\partial_t u_2 - d_2 \Delta u_2 \leq a \partial_t u_1 + b \Delta u_1, \quad u_2 \geq 0 + B.C., \quad a, b \in \mathbb{R},$$

implies the existence of  $C = C(p, T, \Omega, u_i^0, a, b)$  such that:

$$\forall p \in (1, \infty), \quad \|u_2\|_{L^p(Q_T)} \leq C [1 + \|u_1\|_{L^p(Q_T)}].$$

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$$\begin{cases} -(\partial_t \psi + d_2 \Delta \psi) = \Theta \in C_0^\infty(Q_T), \Theta \geq 0, \\ \psi(T) = 0, \quad \partial_\nu \psi = 0 \text{ on } \Sigma_T. \end{cases}$$

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$$\int_{Q_T} u_2 \Theta \leq \int_{\Omega} (-a u_1^0 + u_2^0) \psi(0) + a \int_{Q_T} u_1 \Theta + (a d_2 + b) \int_{Q_T} u_1 \Delta \psi.$$

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## Extensions and limits of the $L^p$ -approach

$$\left\{ \begin{array}{ll} \forall i = 1, \dots, m & \\ \partial_t u_i - d_i \Delta u_i = f_i(u_1, u_2, \dots, u_m) & \text{in } Q_T \\ \partial_\nu u_i = 0 & \text{on } \Sigma_T \\ u_i(0, \cdot) = u_i^0(\cdot) \geq 0. & \end{array} \right.$$

- The same approach provides global existence for the general system when a **triangular structure** holds like

$$f_1 \leq 0, \quad f_1 + f_2 \leq 0, \quad f_1 + f_2 + f_3 \leq 0, \dots$$

in which case we have, with  $Q = Q_{T^*}$  and for all  $p \in (1, \infty)$

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- ▶ [J. Morgan, W. Fitzgibbon, et al. '89] More generally it applies to  $m \times m$  systems if there exists a **triangular** invertible matrix  $Q$  with nonnegative entries such that

$$\forall r \in [0, \infty)^m, \quad Q f(r) \leq [1 + \sum_{1 \leq i \leq m} r_i] b,$$

for some  $b \in \mathbb{R}^m$ ,  $f = (f_1, \dots, f_m)^t$  with **at most polynomial growth**



## Extension with advection and anisotropic diffusion

$$(S) \begin{cases} \partial_t u_i - \operatorname{div} (D_i(t, x) \nabla u_i + V_i(t, x) u_i) = f_i(t, x, u), \\ (D_i(t, x) \nabla u_i + V_i(t, x) u_i) \cdot \nu = 0 \text{ on } \partial\Omega, \\ u_i(0, \cdot) = u_i^0 \geq 0, \\ D_i = [d_i^{lk}]_{1 \leq k, l \leq N} \text{ symmetric elliptic, } V_i \in \mathbf{R}^N. \end{cases}$$

- **Theorem.** [D. Bothe, A. Fischer, M.P., G. Rolland, '2016] Assume that  $f = (f_1, \dots, f_m)$  satisfies **(P)**, **(M')**, the **triangular structure** and with growth at most polynomial. Assume also that,

$$V_i, \nabla d_i^{lk} \in L^\infty(0, T; L^r(\Omega)) \text{ for some } r > \max\{2, N\},$$

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- The assumptions are so that  **$L^{p'}$ -regularity theory holds for each dual problem** [H. Amann, R. Denk-M. Hieber-J. Prüss, '05]

$$-[\partial_t \Psi + \operatorname{div} (D_i(t, x) \nabla \Psi)] + V_i(t, x) \cdot \nabla \Psi = \Theta \in C_0^\infty((\tau, \tau + \delta),$$

$$D_i(\tau, x) \nabla \Psi \cdot \nu = \theta \in C^\infty((\tau, \tau + \delta) \times \partial\Omega),$$

where  $\delta$  is small.

## Application to the case of close $d_i$ 's

$$(S) \begin{cases} \forall i = 1, \dots, m \\ \partial_t u_i - d_i \Delta u_i = f_i(u_1, u_2, \dots, u_m) \\ \partial_\nu u_i = 0 \\ u_i(0, \cdot) = u_i^0(\cdot) \geq 0. \end{cases} \quad \begin{array}{l} \text{in } Q_T \\ \text{on } \Sigma_T \end{array} :$$

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- Whence global existence if, moreover,  $f_i$  at most polynomial !

# Extensions and limits of the $L^p$ -approach

- ▶  $L^p$ -approach does not apply to

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- ▶ and even not to the "better" system with  $\lambda \in (0, 1)$

$$\begin{cases} \partial_t u_1 - d_1 \Delta u_1 = \lambda u_1^3 u_2^2 - u_1^2 u_2^3 [=: f_1(u)] \\ \partial_t u_2 - d_2 \Delta u_2 = u_1^2 u_2^3 - \lambda u_1^3 u_2^2 [=: f_2(u)] \end{cases}$$

where :  $f_1(u) + f_2(u) \leq 0$ ,

and also :  $f_1(u) + \lambda f_2(u) \leq 0$

Finite time  $L^\infty$ -blow up may appear with **(M)**+**(P)** !

$$\begin{cases} \partial_t u_1 - d_1 \Delta u_1 = f_1(u_1, u_2) \\ \partial_t u_2 - d_2 \Delta u_2 = f_2(u_1, u_2) \\ + \text{various "good" boundary conditions} \end{cases}$$

- **Theorem:** [D. Schmitt-MP, 90'] One can find 'polynomial' nonlinearities  $f, g$  satisfying **(P)** and

$$\textbf{(M)} \quad f + g \leq 0, \text{ and also : } \exists \lambda \in [0, 1[, f + \lambda g \leq 0,$$

for which  $T^* < +\infty$  with

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- Blow up may appear **even in space dimension  $N = 1$**  (with high degree polynomial nonlinearities)
- Blow up may appear with **any superquadratic growth  $2 + \epsilon$**  for the  $f_i$  (with high dimension  $N$ ). **Optimal !** [D. Schmitt-MP, 22']

To proceed:

Look for *weak solutions* which are allowed to go out of  $L^\infty(\Omega)$  from time to time or even often ("Incomplete blow up").

We ask the nonlinearities to be at least in  $L^1(Q_T)$ :

$$f_i(u) \in L^1(Q_T)$$

and the solution is understood in the sense of distributions or of the integral formula :

$$u_i(t) = S_{d_i}(t)u_i^0 + \int_0^t S_{d_i}(t-s)f_i(u(s))ds,$$

where  $S_{d_i}(t)$  is the semigroup generated by the Neumann Laplacian  $-d_i\Delta$ .

## An $L^1$ -approach

$$(S) \begin{cases} \forall i = 1, \dots, m \\ \partial_t u_i - d_i \Delta u_i = f_i(u_1, u_2, \dots, u_m) \\ \partial_\nu u_i = 0 \\ u_i(0, \cdot) = u_i^0(\cdot) \geq 0. \end{cases}$$

- **$L^1$ -Theorem.** [MP 03'] Assume **(P)**+ **(M')** hold. Assume moreover that the following a priori estimate holds:

$$\forall i = 1, \dots, m, \int_{Q_T} |f_i(u)| \leq C(T) < +\infty, \forall T \in (0, +\infty).$$

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Then, there exists a **global weak solution** for System (S), even **for all**  $u_0 \in L^1(\Omega)^{+m}$  !

- Proof involves  **$L^1$ -properties of the heat operator and truncations techniques** : for  $T_k(r) := \inf\{r, k\}$ , we use the equations satisfied by  $T_k(u_i + \eta \sum_{j \neq i} u_j)$ ,  $\eta$  small.

# Main ingredients in the proof of the $L^1$ -theorem

- Approximating  $f_i$  by  $f_i^n := \frac{f_i}{1+(\sum_j |f_j|)/n}$  and  $u_i^0$  by  $u_i^{0n} := \inf\{(u_i^0), n\}$   
 $\mapsto$  global approximate solutions  $u_i^n$  with  $\|f_i^n(u^n)\|_{L^1(Q_T)}$  bounded independently of  $n$

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$(g, w_0) \in L^1(Q_T) \times L^1(\Omega) \mapsto w \in L^1(Q_T)$  where

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$$\int_{[0 \leq u_i^n \leq k]} d_i |\nabla u_i^n|^2 \leq k \left[ \int_{Q_T} |f_i^n(u^n)| + \int_{\Omega} u_i^{0n} \right].$$

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- ▶ OPEN PROBLEM: are the solutions classical ?

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# $L^1$ -Theorem applies to many situations

More generally, the same method applies if there exists an invertible matrix  $Q$  with nonnegative entries such that

$$\forall r \in [0, \infty)^m, \quad Q f(r) \leq [1 + \sum_{1 \leq i \leq m} r_i] b,$$

for some  $b \in \mathbf{R}^m$ ,  $f = (f_1, \dots, f_m)^t$ .

In other words:

if there are  $m$  independent inequalities between the  $f_i$ 's (not necessarily triangular)

## Case of strictly less than $m$ inequalities

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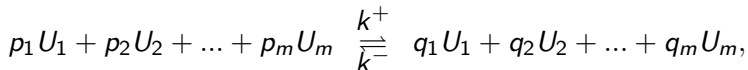
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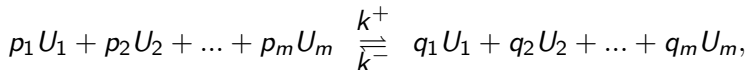
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- ▶ The concentrations  $u_i(t, x)$  of  $U_i$  satisfy a system of type  $(S)$  when the state laws are given by
  - **the mass action kinetics** for the reaction,
  - **the (linear) Fick's law** for the diffusion.

## Evolution models for reversible chemistry

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- ▶ And we may add:  $\partial_\nu u_i = 0$  on  $\partial\Omega$  for all  $i$ .

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- ▶ There is an **entropy inequality**: if  $k^+ = 1 = k^-$

$$\begin{cases} \sum_i (\log u_i) f_i(u) &= h(u) \sum_i (\log u_i) (q_i - p_i) \\ &= h(u) [\log \prod_i u_i^{q_i} - \log \prod_i u_i^{p_i}] \leq 0, \end{cases}$$

$$\Rightarrow \quad \partial_t \int_{\Omega} u_i (\log u_i - 1) \leq 0 \quad (\text{entropy decrease}).$$

# Existence of global renormalized solutions

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- ▶ **Even :** what about weak solutions ??  $\Leftrightarrow f_i(u) \in L^1(Q_T)$  ???

## A surprising $L^2$ -estimate for the systems $(\mathbf{P})+(\mathbf{M}')$

$$(S) \begin{cases} \forall i = 1, \dots, m \\ \partial_t u_i - d_i \Delta u_i = f_i(u_1, u_2, \dots, u_m) & \text{in } Q_T \\ \partial_\nu u_i = 0 & \text{on } \Sigma_T \\ u_i(0, \cdot) = u_i^0(\cdot) \geq 0. \end{cases}$$

- **$L^2$ -Theorem.** Assume  $(\mathbf{P})+(\mathbf{M}')$ . Then, the following a priori estimate holds for the solutions of (S):

$$\forall T > 0, \quad \int_{Q_T} \sum_{i=1}^m u_i^2 \leq C \int_{\Omega} \left( \sum_{i=1}^m u_i^0 \right)^2, \quad C = C(T, (d_i)).$$

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- The proof uses only the sum of the equations

$$\partial_t \left( \sum_i u_i \right) - \Delta \left( \sum_i d_i u_i \right) \leq 0.$$

## Idea of the proof of the $L^2$ -estimate



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- Seen on the dual operator  $\psi \rightarrow -(\partial_t \psi + a \Delta \psi)$  which satisfies  $L^2$ -maximal regularity in terms of  $\underline{d}, \bar{d}$ .

## A proof of the $L^2$ -estimate by duality

- We multiply the inequality  $\partial_t W - \Delta(a W) \leq 0$ , by the solution  $\psi \geq 0$  of the dual problem

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- ▶ Indeed multiplying the equation in  $\psi$  by  $-\Delta \psi$  gives  $\int_{Q_T} (\Delta \psi) \partial_t \psi + a (\Delta \psi)^2 = - \int_{Q_T} \Delta \psi \Theta.$

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- ▶ Variable coefficients  $d_i = d_i(t, x)$ ,  
nonlinear diffusions  $-\Delta d_i(u_i)$
- ▶  $W_0 \in L^1(\Omega)$  **only** !: The  $L^2$ -estimate may be localized for  $\partial_t W - \Delta(aW) \leq 0$ ,  $\underline{d} \leq a \leq \bar{d}$ .

$$\|W\|_{L^2((\tau, T) \times \Omega)} \leq \frac{C(\underline{d}, \bar{d}, T)}{\tau^{N/4}} \|W_0\|_{L^1(\Omega)}.$$

- ▶ [J.A. Cañizo, L. Desvillettes, K. Fellner]: there exists  $\epsilon(N) > 0$  such that

$$\|W\|_{L^{2+\epsilon}(Q_T)} \leq C \|W_0\|_{L^{2+\epsilon}(\Omega)}.$$

# Applications to quadratic systems

$$(S) \begin{cases} \forall i = 1, \dots, m \\ \partial_t u_i - d_i \Delta u_i = f_i(u_1, u_2, \dots, u_m) & \text{in } Q_T \\ \partial_\nu u_i = 0 & \text{on } \Sigma_T \\ u_i(0, \cdot) = u_i^0(\cdot) \geq 0, u^0 \in L^2(\Omega)^m. \end{cases}$$

- **Corollary of the  $L^1$  and  $L^2$  Theorems.** Assume **(P)+(M')** and the  $f_i$  are at most quadratic, i.e.

$$\forall 1 \leq i \leq m, \quad \forall r \in [0, \infty)^2, \quad |f_i(r)| \leq C[1 + \sum r_j^2].$$

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- **But quite more has recently be proved !**

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- ▶ It relies in particular on a technique developed by Ya.I. Kanel (1990) where such a global existence result was proved in  $\mathbf{R}^N$  and for equality in **(M)**.
- ▶ And similar global existence results were also obtained in 2018 by Ph. Souplet and in 2019 by M.C. Caputo, Th. Goudon and A. Vasseur assuming an **entropy dissipation** (as for reversible chemistry)).

# Ingredients of the proof of the quadratic theorem

- ▶ A surprising interpolation lemma whose idea is taken from Ya. Kanel in  $\mathbf{R}^N$  and adapted by K. Fellner, J. Morgan and B.Q. Tang to the case of a bounded regular domain with homogeneous Neumann boundary conditions (it would also work with Dirichlet boundary conditions)



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- ▶ Apply the  $\gamma$ -lemma to  $V$  in  $(V_2)$  !!!!
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# Ideas of the proof of the quadratic theorem: case $\sum_i f_i(u) = 0$

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- ▶ and it works...



## Application to the Lotka-Volterra system

- Applies to the (quadratic) Lotka-Volterra system: for all  $u^0 \in L^\infty(\Omega)^{+m}$ , there exists a global classical solution to the system: For all  $i = 1, \dots, m$ ,

$$\partial_t u_i - d_i \Delta u_i = e_i u_i + \left( \sum_{1 \leq j \leq m} p_{ij} u_j \right) u_i =: f_i(u),$$

where  $e_i \in \mathbf{R}$ ,  $p_{ij} \in \mathbf{R}$  + "Dissipation".

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where  $e_i \in \mathbf{R}$ ,  $p_{ij} \in \mathbf{R} + \text{"Dissipation"}$ .

- ▶ "Dissipation": we assume that, for some  $(a_i) \in (0, +\infty)^m$

$$\forall \xi \in [0, \infty)^m, \quad \sum_{i,j=1}^m a_i p_{ij} \xi_i \xi_j \leq 0$$

$$\Rightarrow \sum_i a_i f_i(u) \leq \sum_i a_i e_i u_i \quad (\text{whence } (\mathbf{M}'))$$